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Approved for use through 10/31/2002 OMB 0651-0032  
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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No. <b>520 42995X00</b>	
		First Inventor <b>NOGUCHI, MINORI</b>	
		Title <b>SYSTEM FOR MONITORING FOREIGN PARTICLES, PROCESS PROCESSING APPARATUS AND METHOD OF ELECTRONIC COMMERCE</b>	
		Express Mail Label No.	

  

<b>APPLICATION ELEMENTS</b>  <b>SEE MPEP chapter 600 concerning utility patent application contents.</b>		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
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1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for processing)</small>  2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.  3. <input checked="" type="checkbox"/> Specification <span style="float: right;">[Total Pages: <b>66</b>]</span> <small>(Construct arrangement not both below)</small> -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure  4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) <span style="float: right;">[Total Pages: <b>33</b>]</span>  5. Oath or Declaration <span style="float: right;">[Total Pages: <b>4</b>]</span> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) Named in the prior application, see 37 CFR 1.63(c)(2) and 1.33(b)  6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission If applicable, all necessary a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on i. <input type="checkbox"/> CD-ROM or CD-R (2 copies), or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies
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<b>ACCOMPANYING APPLICATION PARTS</b>	
9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Citations Statement (IDS)/PTO-1449 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 903) (Should be specifically itemized) 15. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input checked="" type="checkbox"/> Other: <b>Figs. 1-40, Credit Card Payment Form, List and Copies of Prior Art</b>	

  

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP) of prior application No.

Prior application information: Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label <span style="float: right;">020457</span>		<input type="checkbox"/> Correspondence address below	
<small>(Insert Customer No. or Attach bar code label here)</small>			
Name <b>ANTONELLI, TERRY, STOUT &amp; KRAUS, LLP</b>			
Address _____			
City _____		State _____ Zip Code _____	
Country _____		Telephone <b>(703) 312-6600</b> Fax <b>(703) 312-6666</b>	
Name <b>Mevin Kraus</b>		Registration No. (Attorney/Agent) <b>22,466</b>	
Signature <i>Mevin Kraus</i>		Date <b>July 31, 2003</b>	

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**FEE TRANSMITTAL  
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

**Complete if Known**

Application Number \_\_\_\_\_  
 Filing Date **July 31, 2003**  
 First Named Inventor **NOGUCHI, MINORI**  
 Examiner Name \_\_\_\_\_

☐ Applicant claims small entity status. See 37 CFR 1.27

Art Unit \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** **(\$)** **952.00**Attorney Docket No. **520.42995X00****METHOD OF PAYMENT (check all that apply)**☐ Check ☒ Credit Card ☐ Money Order ☐ Other ☐ None☒ Deposit Account.

Deposit Account Number 01-2135

Deposit Account Name **Antonelli, Terry, Stout & Kraus, LLP**

The Commissioner authorized to: (check all that apply)

☒ Charge fees indicated below ☒ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fees indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Code	Small Fee Code	Fee Description	Fee Paid
1001	750	2001 375	Utility filing fee	750.00
1002	330	2002 165	Design filing fee	
1003	520	2003 260	Plant filing fee	
1004	750	2004 375	Reissue filing fee	
1005	160	2005 80	Provisional filing fee	

**SUBTOTAL (1)** **750.00****2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

Large Fee Code	Entity Code	Small Fee Code	Fee Description	Fee Paid
1201	84	2201 42	Independent claims in excess of 3	
1202	184	2202 92	Multiple dependent claim, if not paid	
1203	280	2203 140	** Reissue independent claims over original patent	
1204	84	2204 42	** Reissue claims in excess of 20 and over original patent	

**SUBTOTAL (2)** **\$ 162.00**

\*\*or number previously paid, if greater; For Reissues, see above.

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Code	Small Fee Code	Fee Description	Fee Paid
1051	130	2051 65	Surcharge - late filing fee or oath	
1052	50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053 130	Non-English specification	
1812	2,520	1812 2,520	For filing a request for ex parte reexamination	
1804	920*	1804 920*	Requesting publication of SIR prior to Examination action	
1805	1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251 55	Extension for reply within first month	
1252	410	2252 205	Extension for reply within second month	
1253	630	2253 315	Extension for reply within third month	
1254	1,450	2254 725	Extension for reply within fourth month	
1255	1,970	2255 985	Extension for reply within fifth month	
1401	320	2401 160	Notice of Appeal	
1402	320	2402 160	Filing a brief in support of an appeal	
1403	280	2403 140	Request for oral hearing	
1451	1,510	1451 1,510	Petition to institute a public use proceeding	
1452	110	2452 55	Petition to revive - unavolable	
1453	1,300	2453 650	Petition to revive - unintentional	
1501	1,300	2501 650	Utility issue fee (or reissue)	
1502	470	2502 235	Design issue fee	
1503	630	2503 315	Plant issue fee	
1406	130	1490 130	Petitions to the Commissioner	
1807	50	1807 50	Processing fee under 37 CFR 1.17(g)	
1806	180	1806 180	Submission of Information Disclosure Stmt	
8021	40	8021 40	Recording each patent assignment per property (times number of properties)	40.0
1809	750	2809 375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810 375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801 375	Request for Continued Examination (RCE)	
1802	900	1802 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** **(\$)** **40.00****SUBMITTED BY****Complete (if applicable)**

Name (Print/Type)	<b>Antonelli, Terry, Stout &amp; Kraus, LLP</b>	Registration No. (Attorney/Agent)	<b>22,466</b>	Telephone	<b>703-312-6600</b>
Signature	<i>Antonelli, Terry, Stout &amp; Kraus, LLP</i>	Date	<b>July 31, 2003</b>		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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